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# **About Us**

Utilizing our professional knowledge and years of international experience among Funds, Wealth and Tax Planning, Trust and Trustee, Corporate Structuring and Escrow Service, we offer tailor-made and turn-key solutions while efficiency is guaranteed.



One-stop platform for fund services



No out-sourcing, local team provides prompt support



**Experienced team members** 



# **Awards & Recognition**







#### Best Administrator for 6 consecutive years

#### **HFM Asia Services Awards:**

Winner: Best administrator – innovation (2025)
Winner: Best administrator – digital assets (2024)

Winner: Best administrator – boutique and emerging managers (2023) Winner: Best administrator – boutique and emerging managers (2022)

Winner: Best administrator – specialist structures (2021) Winner: Best administrator – small managers (2020)

Highly Commended – small managers client service (2019)

<u>Asia's Most Valuable Brand Award</u> – Best Total-Solution Fund Services Of The Year (2023) <u>Most Valuable Companies in Hong Kong Awards</u> – Best One-Stop Fund Services (2022) <u>Most Innovative Solutions Award</u> (2021)

<u>Asia's Most Valuable Service Award</u> – Most Prominent Fund and Private Equity Services of the Year (2020) <u>Hong Kong's Most Outstanding Service Awards</u> (2022, 2021, 2020, 2019, 2018)









# **Our Management**



#### **Edward Yum Liang Hsien**

- Guest lecturer for the course "Fund Governance" of "Master of Corporate Governance & Compliance" at Hong Kong Baptist University
- ❖ Scholarship sponsor of the "Edward Yum International Service Learning Awards" & "Edward Yum Outstanding Performance Scholarships for Economics and Finance Students" at City University of Hong Kong
- Best-selling author of the book "Mastering Cryptocurrency Funds" and magazine columnist of "Strategist"

- Founder and Group CEO of AYASA Globo
- Founder of APLUS Investments
- Director of AG Digital Asset Fund SPC
- Director of AG Opportunity Fund SPC
- Director of AGenius Productions
- Director of AGENIUS

A Chinese national, graduated from University of Illinois at Urbana-Champaign (USA) with a BSc in Finance, Edward concreted his expertise in funds and trust through his career among banks and financial institutions namely HSBC, Bank of Montreal, Pacific Global Bank, Old Second National Bank, and Hang Seng Bank, in both the United States and Hong Kong for almost 25 years altogether. Edward, who assisted clients for setting up funds and trust in an amount of billions of USD, is a member of AIMA HK & AIMA APAC Digital Assets Working Group. He is also the Founding Member and Executive of The Association of Chartered Wealth Management. Edward also earned the certificate in the "Corporate Governance: Regulatory Considerations and Implications Program" by University of Southern California US-China Institute. Edward's long-standing successes in the financial services industry earned his recognitions and he is now the Director of about 30 companies and funds in Hong Kong, the Cayman Islands, and BVI.



# **Global Presence**















#### **AYASA Globo's office in the Cayman Islands**

AYASA Globo officially opens its office in the Cayman Islands! Since 2014, we have been growing in Hong Kong, offering tailor-made and turn-key solutions to our clients' in Fund and Compliance services.

In order to best fulfil clients' needs in various aspects, we expanded to a new location in the Cayman Islands, which strengthens AYASA Globo's presence and further broadens the scope of services we can provide to our clients.

















#### **Address:**

Suite A, 1st floor, One Artillery Court, 161 Shedden Road, George Town, Grand Cayman KY1-1105, Cayman Islands.





### **Our Services**















### **Fund Services**

**AYASA Globo Financial Services** is a "one-stop" platform that provides comprehensive and total solutions to hedge funds, private equities, family offices, brokers and financial institutions, etc.

- Fund structuring and incorporation
- Pre-launch and onboarding checks
- NAV calculations and accounting / bookkeeping
- Weekly / Monthly / Quarterly reports and statement
- Compliance and FATCA / CRS duties
- Licensing and project management





# **Crypto Fund**

AYASA Globo is a pioneer in crypto fund administration. We are one of the very few fund administrators in Hong Kong with actual hands-on experience and expertise in crypto fund setup and administration. We have deep understanding of this new digital asset class, the special needs and procedures needed in establishing the fund. Our Client Onboarding and Relationship Management Team will guide the fund managers step-by-step to establish a cryptocurrency fund.

- Crypto fund setup & incorporation
- Legal structuring
- Assist opening of crypto bank account, crypto exchange and settlement account
- Fund administration
- Cryptocurrency NAV calculation & oversight
- AML/KYC & reporting
- Transfer Agency
- Referral for custodian & auditor with crypto fund handling experience
- Assist SFC license uplifting for crypto-related activities





# **Escrow Agent**

We act as the escrow agent to receive and safekeep the deposits and proceeds of the transaction for fund launches and other purposes. We help you to make your transaction more secure by keeping the payment in a secure bank account which is only released when all of the terms of an agreement are met. We can also make the bank account a designated sub-account under your name for your convenience.

- Creating designated sub-account under client's name/title
- Safekeeping of deposits and handling of transaction in a secure bank account
- Executing disbursements to beneficiaries/contracted parties
- Record keeping for all incoming and outgoing money





# **Cayman Registered Office**

We are a licensed corporate service provider in the Cayman Islands offering registered offices service and the incorporation service of Cayman Islands Exempted Company. By using our registered office service, you can easily meet your regulatory requirements without having to set up a physical office in the Cayman Islands. We serve as a contact point for all the postal mails from governments and regulatory bodies. We also provide company secretarial services including but not limited to the below:

- Incorporation of Cayman companies
- Preparation and submission of Annual Return and Economic Substance ("ES") Notification
- Preparation and record-keeping of resolutions and government filings
- Provision of certificates and certified registers
- Attending to the annual statutory declaration and payment of annual government fees
- Updating corporate registers as needed





# **Cayman Corporate Governance**

According to CIMA regulatory measures effective October 2023, Regulated Entities need to establish, implement, and maintain a corporate governance framework, as well as adequate and effective internal controls, that are proportionate to the entity's size, complexity, nature of business, and risk appetite. CIMA expects full compliance to be evidenced and supported by adequate documentation. We provide corporate governance service for both funds and investment managers level.

- Corporate Governance Framework and Policies
- Provision of Risk Report
- Provision of Board Support Services





# **Investor Relations & Public Relations**

Public Relations play a crucial role in the digital age, with promotion via both online and offline channels having the capacity to: build and maintain company's reputation and enhance its visibility in the market. At AYASA Globo, we help companies to devise compelling stories which are guaranteed to appeal to media outlets and target audience, and also use our in-house PR expert to maximize result. Our goal is to grow together with our clients quickly, effectively and legally.







# **Family Office**

We are certified STEP members, possessing more than 20 years experience in the field of estate/wealth and legacy planning. Together with our clients we go through the process of discovering what solutions would best fit their family business.

- Estate planning
- Asset protection
- Wealth structuring
- Heritage & Legacy advisory



### **Milestones**

- Servicing over 200 private funds, with management mainly in Hong Kong, China, Taiwan, Singapore, Malaysia, USA and Europe
- Reputable clients include:

China Financial Services Holdings Ltd (0605.HK)

China Post & Capital Global Asset Management Limited

**COFCO Corporation** 

GoFintech Innovation Limited (0290.HK)

Luk Fook Holdings (International) Limited (0590.HK)

Ping An Insurance Group Co. of China Ltd (2318.HK)

SINA Corp (SINA:US)

Victory Securities (Holdings) Company Limited (8540.HK)

MaiCapital Limited –

First Crypto manager in Hong Kong recognized by SFC

- Licenses obtained:
  - Trustee in Zurich Globo Group
- Strategic acquisition of a Hong Kong trustee

中國金融投資管理公司 (0605.HK) 中郵創業國際資產管理有限公司中糧集團有限公司 (0290.HK) 六福集團(國際)有限公司 (0590.HK) 中國平安 (2318.HK) 新浪 (SINA:US) 勝利證券(控股)有限公司 (8540.HK) MaiCapital Limited





#### **HFM Asian Services Awards 2025**

**AYASA Globo** has been recognized as the **Best Administrator for 6 consecutive years** in HFM Asian Services Awards. We are honored to be named the "**Best administrator – innovation**" in 2025.

This recognition underscores our commitment to pioneering solutions that redefine excellence in fund administration. Our forward-thinking approach, powered by cutting-edge technology and deep industry expertise, empowers clients with agile services. Innovation is not just a buzzword—it's embedded in everything we do. We are committed to driving real results with innovative solution.







# **Crypto Staking Seminar with Deacons & HashKey Capital**

On 12th June 2025, AYASA Globo, in collaboration with **Deacons** and **HashKey Capital**, hosted a seminar titled "Capturing Crypto Fund Investment Opportunities Through Staking". The panel discussion was moderated by Fiona Fong, Partner at **Deacons**, and featured insights from fellow **Deacons** Partners Isabella Wong and Joyce Li, **HashKey Capital** Partner Vivien Wong, and AYASA Globo's Founder & Group CEO, Edward Yum. The session explored key developments in private crypto fund structures, new SFC staking regulation and practical considerations for incorporating staking into the fund strategy. We continue to host insightful discussions on crypto fund developments.







#### **Networking Evening with Panel Discussion**

On 7th May 2025, we are honored to participate in the networking evening: Asian investment landscape in the Trump 2.0 era. Edward Yum, Founder & Group CEO of AYASA Globo was on the panel together with industry experts from **DBS**, **OP Investment Management**, **Deacons**, **Loeb Smith Attorneys**, having an in-depth discussion on the changes in the investment environment in Asia under the Trump 2.0 administration, especially the impact on hedge funds, private equity and alternative investments, as well as new trends in the future.







#### **HFM Asian Services Awards 2024**

AYASA Globo won the award as the "Best administrator – digital assets" in 2024.

HFM Asian Services Awards recognizes hedge fund service providers who have demonstrated exceptional client service and it is the definitive guide to the region's leading fund service providers. Other winners include Morgan Stanley, UBS, EY, KPMG, Sidley Austin and Simmons & Simmons.

As early as 2018, we are already a pioneer in crypto fund administration and one of few fund administrators with actual hands-on experience in 100% crypto funds. Our team have extensive experience to guide fund managers step-by-step to establish crypto fund with extensive connections on various crypto service providers including banks, law firms and digital asset custodians.







### **BVI Funds Seminar with Harneys**

On 19th Jun 2024, AYASA Globo hosted a seminar with **Harneys** on the BVI regimes. During the seminar, Maggie Kwok, Head of Funds & Regulatory Practice, Asia & Head of Transactional Team, Hong Kong of **Harneys**, and Edward Yum, Founder & Group CEO of AYASA Globo discussed how the BVI regimes can be used as an alternative to the Cayman structures. There was a comprehensive discussion on the various types of BVI funds structures, BVI Approved Manager regime and comparison with the Cayman regimes.







# **New CIES Seminar with Deacons & EY**

On 23rd May 2024, AYASA Globo hosted a seminar with **Deacons** and **Ernst & Young** on the new Capital Investment Entrant Scheme (CIES). During the seminar, Partner of Assurance, Wealth & Asset Management of **Ernst & Young**, Alpha Tsang, Partner of **Deacons**, Fiona Fong, Registered Foreign Lawyer of **Deacons**, Eunice Wu and Founder & Group CEO of AYASA Globo, Edward Yum discussed the use cases of the New CIES in OFC & LPF. Many fund managers are excited to tap into this opportunity to attract new investors. The new CIES can bring strong capital inflow, strengthening Hong Kong's role as a global financial hub.







#### **Networking Drink with PhillipCapital & Bayfront Law**

On 23rd Jan 2024, AYASA Globo hosted a networking drink with our honorable guests from Singapore: Grace Tang, Deputy Managing Director of **PhillipCapital** and Ryan Lin, Director of **Bayfront Law** to mingle with our friends in the asset management industry to celebrate the new year. Attendees had a fruitful time engaging in conversations with our guests regarding Singapore VCC & Investment Manager setup.







#### **HFM Asian Services Awards 2023**

AYASA Globo won the "<u>Best administrator – boutique and emerging managers</u>", "<u>Best administrator – specialist structures</u>", "<u>Best administrator – small managers</u>" at HFM Asian Services Awards in 2023, 2022, 2021 & 2020 respectively. We have been recognized by the HFM as the best administrator, affirming our position as the best one-stop total solution provider in private funds in Hong Kong, Singapore and the Cayman Islands. Combining global presence with our local perspectives, we will continue to customize comprehensive fund solutions to suit fund managers' various needs.







### **VCC Seminar with KPMG & BTP Law**

On 13th June 2023, AYASA Globo hosted a seminar with **KPMG** & **BTP Law** regarding "How to set up a Singapore VCC Fund". During the seminar, Managing Director of **BTP Law**, Brandon Tee introduced the features and requirements of VCC Fund. Pearlyn Chew, Partner of Real Estate & Asset Management, Family Office & Private Client, Tax, **KPMG Singapore**, further discussed the Singapore fund management tax incentives and key taxation features. Founder & Group CEO of AYASA Globo, Edward Yum mentioned the robust growth in Singapore asset management market and talked about the VCC Fund services.







Hennessy Room Level 7, Conrad Hotel, 88 Queensway, Admiralty

### **SingCham HK Speaker Series**

On 1st June 2023, Founder & Group CEO of AYASA Globo - Edward Yum was invited to be the guest speaker at SingCham HK Speaker Series luncheon event to share his insights on the future of cryptocurrency and fintech in asset management. During the event, there was a very interesting and fruitful interactive discussion session to exchange opinions with the audience.







#### **Master-Feeder Fund Seminar with Deacons & KPMG**

On 22rd February 2023, AYASA Globo hosted a seminar with **Deacons** & **KMPG** regarding "How to set up a Cayman Master-Feeder Fund". In the seminar, **Deacons** Financial Services Partner Fiona Fong shared her insights into the different legal structures and setup procedure of Master-Feeder Fund. Matt Sung, Partner of Financial Services, Asset Management, Hong Kong SAR, **KPMG China** & Ling Chen, Tax Partner, US Income Tax, Asset Management, Hong Kong SAR, **KPMG China** talked about taxation issue of the US investors. Founder & Group CEO of AYASA Globo, Edward Yum discussed the operational features of Master-Feeder Fund.







### **Virtual Assets Seminar with Prosynergy**

On 14<sup>th</sup> September 2022, AYASA Globo hosted a seminar with **Prosynergy** on virtual assets. **Prosynergy**'s Founder and Managing Director, Louie Lee, shared his insights on virtual assets regulations and cryptocurrency funds licensing during the seminar, while Edward Yum, Founder & Group CEO of AYASA Globo, discussed the set up procedures and operational features of cryptocurrency funds.







### **Hong Kong Office Expansion**

In order to facilitate the rapid growth of our business, AYASA Globo, on 15th October 2021, has its ceremony on the 3rd-time office expansion since our establishment in 2014. With the new and bigger office (added the entire floor of 16/F at the same building and retaining half of 21/F), we dedicate to serve our clients with comprehensive turn-key solution for private funds and listed companies.





Ribbon-cutting guests (from left to right): Dr. Law Ka-chung, Dr. Lew Mon-hung, Mr. Shum Chun-ying, Mr. Paul Tse Wai-chun, JP Video: https://youtu.be/veX0ScgHU6k



#### **OFC Seminar with SFC & Deacons**

On 6<sup>th</sup> September & 7<sup>th</sup> October 2021, AYASA Globo hosted a seminar with **SFC** and Deacons on open-ended fund companies (OFCs). Appreciation to our wonderful guest speakers - **Deacons** Financial Services Partner Fiona Fong and Associate Director, Investment Products of **Securities and Futures Commission**, Elizabeth Wong, for sharing with our audience the valuable insights and practical tips on private OFCs.







#### **Economic Substance Seminar**

The recent updates to the Economic Substance law that was imposed by the CIMA has raised concerns of many fund managers. We have therefore hosted a seminar on the 19<sup>th</sup> November 2019 on "**How to comply with Economic Substance Law?**".





The speakers were our Founder & Group CEO Edward Yum and Dean Lynee, a core members of the Cayman Government ES sub-committee member. They have outlined the systems and solutions to the 200 attendees on the day.

AYASA will continuously stay updated with the market and regulatory information to provide the our clients with professional, comprehensive and total solutions.

Video: https://youtu.be/aKdE4vy4JLo





# **Our Friends**



Mrs. Carrie Lam Cheng Yuet-ngor (Fifth-Term Chief Executive of HKSAR)



Mr. Paul Chan Mo-po (Financial Secretary of HKSAR)



Mr. Lau Mong Hung (Deputy in Chinese People's Political Consultative Conference 2008-2013)



Mr. Stephen Wong Yuen Shan (Former Managing Director of Investment Bank and member of the Asian Bonds Management Committee)



# **Our Friends**



Louie Shum Chun Ying (Hong Kong stock market analyst, "Master Shum")



Andrew Pak-Man Shuen (Hong Kong stock market commentator and financial columnist)



Mr. Myron Ng (Assistant General Manager of Link Asset Management)

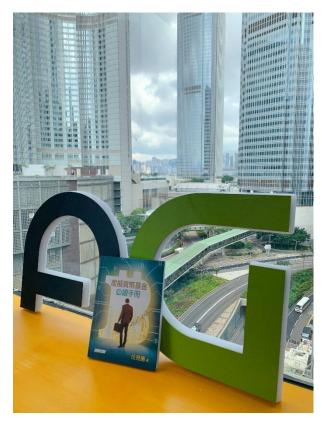


Charles Li (Founder & Chairman of Micro Connect)



# **Book Publications**

Our Founder & Group CEO Mr. Edward Yum published the book "Mastering Cryptocurrency Funds" to share his insights on the trend and outlook of crypto funds. This book is now available at Joint Publishing, The Commercial Press, Chung Hwa Book, eslite, etc.







# ViuTV 99 program "Invest Smarter"



《智富通》 interview:

【商業新思維】專營私募基金一站式服務 利用金融科技平台提供服務

### https://youtu.be/0x3iTISHspM

We are honored to be invited to ViuTV 99 program "Invest Smarter" to share our expertise on digitalized investor onboarding and crypto funds services.







### **Business Innovator interview:**

# 【創新商業方案大獎】





### https://youtu.be/TISMpHAIVm8

As a Hong Kong based fund administrator, we strive to provide our private funds solutions with cutting edge AI & OCR technology.





# CORPHUB interview: 市場動盪中穩步前行 — 專訪 AYASA Globo



### https://youtu.be/ihRcKgWxfZg

AYASA Globo Financial Services is honoured to be awarded the Hong Kong's Most Outstanding Services Award and we were also chosen by their Board of Editions to become the 1st Corphub Hall of Fame honorable members.

With the COVID-19 hitting the economy and financial, Mr. Yum reassured that AYASA will continue to vow to satisfy clients' needs by dedicating the right people to achieve beyond excellence at the right price"



市場動盪中穩步前行 — 專訪 AYASA Globo Financial Services (亞洲)基金服務主管 任亮憲 Edward

April 1, 2020 in Corpview



# Funds Setup/Administration & Common Structures



# **Advantages of Our Services**

	AYASA Globo
Local presence in the Cayman Islands with our own physical office	✓
Cayman registered office and company incorporation service	✓
Cayman director appointments, incl. AML officer	✓
Economic Substance Packages	✓
Close partnership with both Cayman & Hong Kong legal and auditor firms	✓
Professionals located in Hong Kong with no outsourcing to other cities, incl. in-house fund accounting team led by CPAs	✓
One-stop platform to provide tailor-made total solutions	✓
Consistent recognition from various industry awards	✓
Designated Relationship Managers to serve each fund	✓
Best-in-class client servicing to provide timely response to client's needs	✓
Provide handy way of communications such as instant messaging app like WhatsApp & WeChat	✓



# **Funds Setup**

### **Fund establishment location**

**Cayman Islands** 

BVI

**Hong Kong** 

Singapore

Luxembourg

**Bermuda Islands** 

**Mauritius** 

**Netherlands Antilles** 

### **Major fund structure**

**Cayman Segregated Portfolio Company (SPC)** 

**Cayman Standalone** 

**Cayman Limited Partnership** 

**BVI Professional Fund** 

Hong Kong Open-ended Fund Company (OFC)

**Hong Kong Limited Partnership Fund (LPF)** 

**Singapore Variable Capital Company (VCC)** 



## Fund Establishment: Comparison between HK LPF, Cayman SPC, Singapore VCC

	HK LPF	Cayman SPC	Singapore VCC
Launch Year & No. of Funds	An onshore fund regime since August 2020.	Since May 1998, the Cayman SPC regime has been effectively working for over 27 years. There are 17,376 registered funds as of Q1 2025.	Since January 2020. There are 1029 registered VCC as of December 2023.
Registration	Registered at HK Companies Registry.	Registered at the Cayman Islands Monetary Authority (CIMA).	Registered at the Accounting and Corporate Regulatory Authority (ACRA).
Requirement on Anti-money Laundering & Counter- terrorist Financing Measure	Must appoint a "Responsible Person" (RP) to carry out the AML/CFT measure. A RP have to be:  (a) An SFC licensed corporation; (b) An authorized institution; (c) An accounting professional; or (d) A legal professional.	Appoint a natural person to carry out the AML/CFT measure.	Must appoint an eligible financial institution (EFI) regulated and supervised by the Monetary Authority of Singapore (MAS) for AML/CFT purposes to conduct the necessary checks and perform the measures in order for the VCC to comply with the relevant parts of the VCC AML/CFT Notice.
Tax Implications	Profit tax exemptions may apply to the fund if it meets certain requirements. Yet, the management fee of fund executives may still subject to profit tax or salaries tax.	Tax free.	Tax incentives available under sections 13O and 13U of the Income Tax Act (ITA). Yet, the management fee of fund executives may still subject to profit tax or salaries tax.
Establishment Cost	Legal cost is uncertain and may vary.	All-inclusive fixed legal cost.	Legal cost is uncertain and may vary.



## **Fund Establishment: Process**

Demand Advice Fund Due Diligence Establishment Operation

Process	Description	Time required (Estimated)
Demand Advice	Consultation Fund Requirements and Strategies	1 week
Fund Design	According to the results of the consultation, a detailed list of fund design	2 - 4 weeks
Due Diligence	Comprehensive and in-depth review of the background, market risk, management risk, technology risk and capital risk of companies and related personnel	2 - 3 weeks
Fund Establishment	Fund officially registered in the area	6 weeks
Official Operation	The fund is officially operating	

According to factors such as fund structure, types of investors, and license registration requirements, it usually takes about 2 to 3 months to set up a fund.

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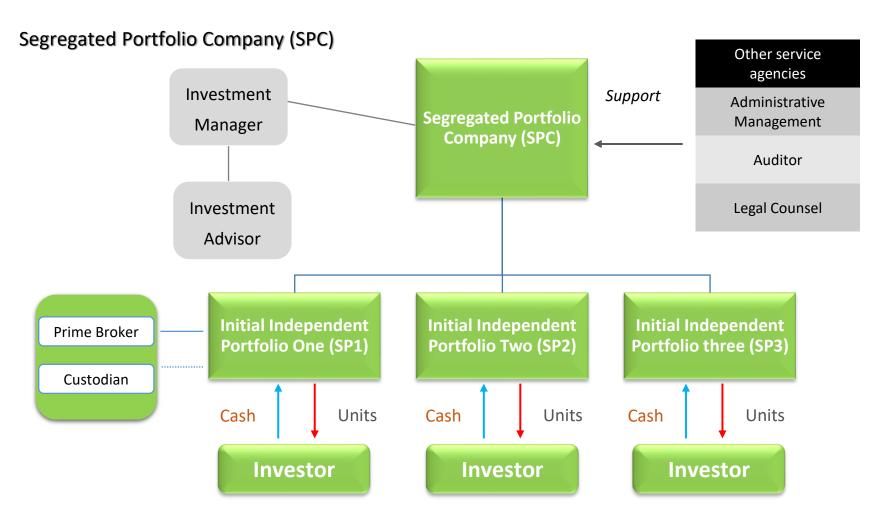
# **Fund Establishment: Required Documents**

### The main documents issued by the fund:

- ✓ Fundraising Instruction (OM) / (PPM)
- ✓ Purchase contract and redemption form (for investors)
- ✓ Investment Advisory Agreement (if applicable)
- ✓ Investment Management Agreement, Partner Agreement
- ✓ Government filing documents set up by the fund
- ✓ Fund service provider engagement letter (fund administrator, lawyer, auditor, etc.)

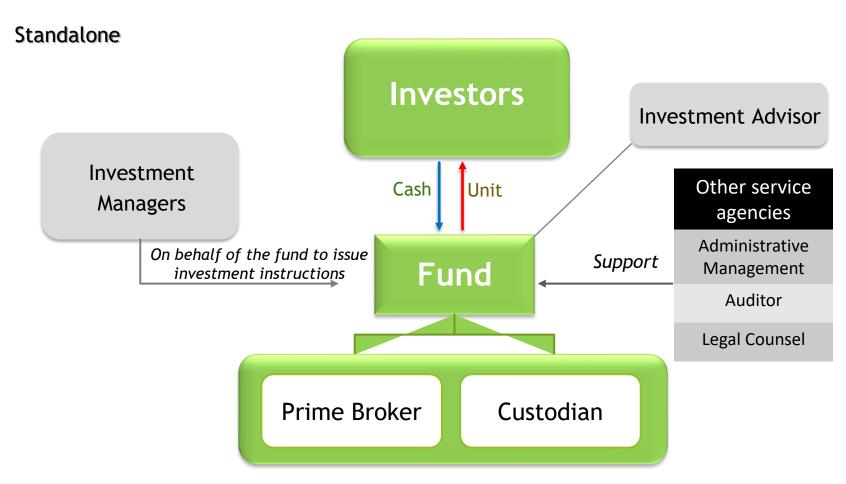


# Fund Structure (1): Cayman Segregated Portfolio Company (SPC)





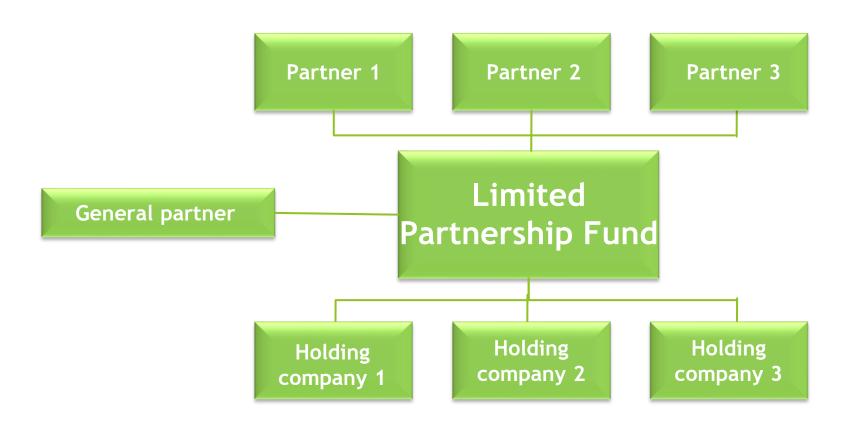
# Fund Structure (2): Cayman Standalone





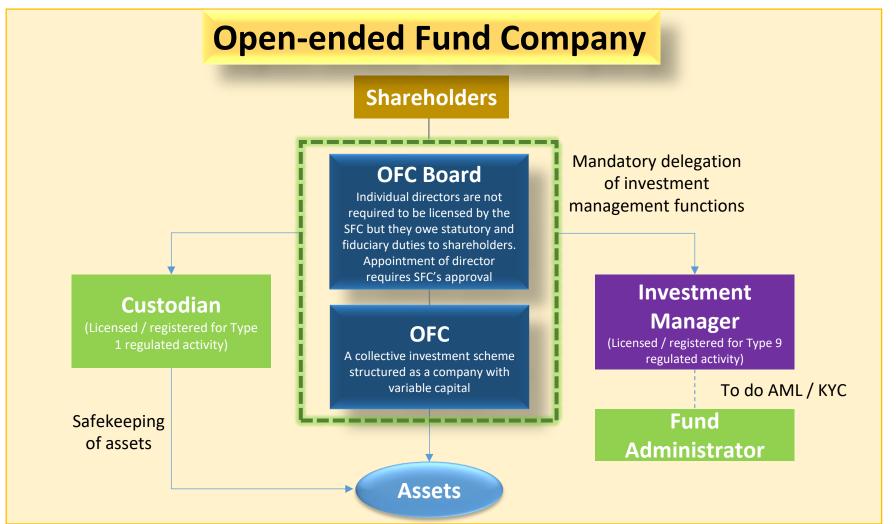
# Fund Structure (3): Cayman Limited Partnership

### **Limited Partnership Company**



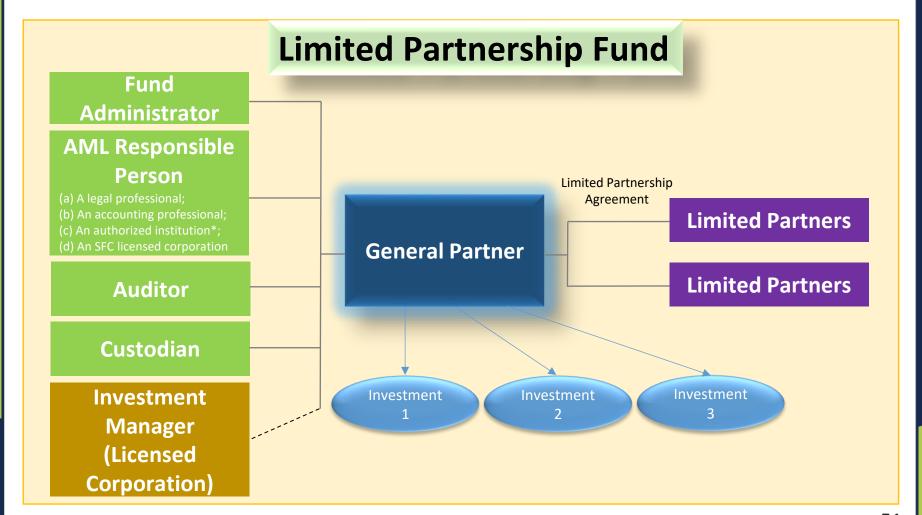


# Fund Structure (4): Hong Kong Open-ended Fund Company (OFC)





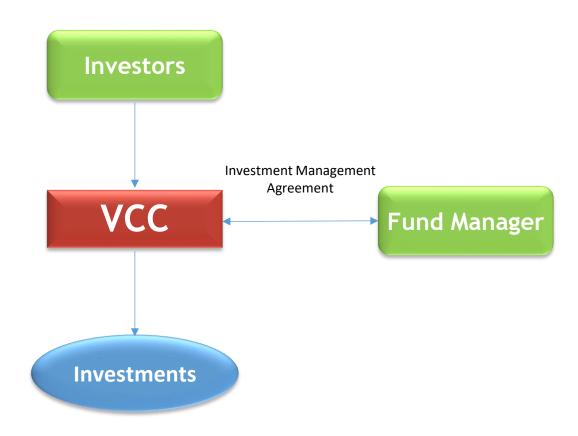
# Fund Structure (5): Hong Kong Limited Partnership Fund (LPF)





# Fund Structure (6): Singapore Variable Capital Company (VCC) - Standalone

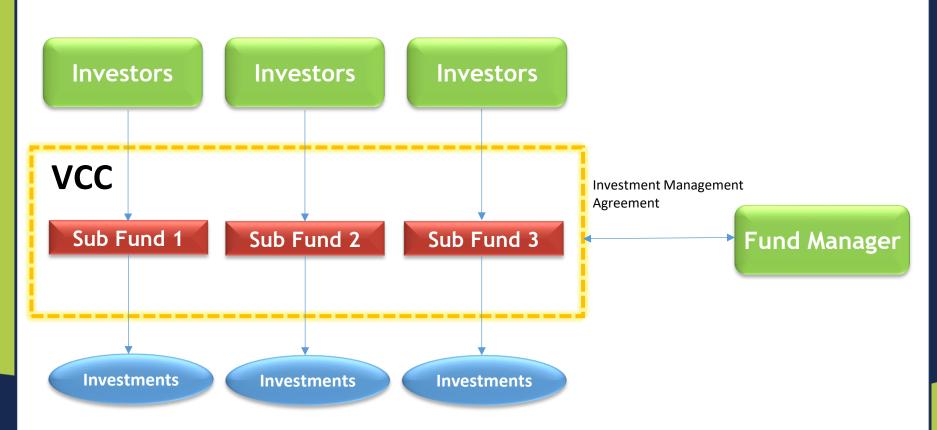
### Standalone structure





# Fund Structure (6): Singapore Variable Capital Company (VCC) - Umbrella

### Umbrella structure





# **Summary of Fund Administration Services**

### **AYASA Globo Financial Services'** fund administration services include:

(for reference only)

- √ Fund establishment and opening of fund accounts
- ✓ Provide periodic (weekly/monthly/quarterly/half year, etc.) Net Asset Value ("NAV") reports to investment managers (and/or other relevant units), including balance sheet, income statement, portfolio table
- ✓ E-Statements are regularly provided to investors (Chinese translations are available if required)
- √ Have the opportunity to have dividends for investors
- ✓ Fund statements will be prepared in accordance with International Financial Reporting Standards (IFRS)
- √ FATCA and Common Reporting Standard



## AyasaGlobo.com





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#### **OUR SERVICES**







#### WHY TRUST US



One-stop platform for fund services



No outsourcing, local team provides prompt support



Experienced team



ZURICH | DUBAI | HONG KONG | TAIPEI | SINGAPORE CAYMAN ISLANDS | BVI | CHICAGO | PANAMA

#### Singapore office

Level 42, Suntec Tower Three, 8 Temasek Boulevard, Singapore 038988 Tel +65 6950 7028

#### **Hong Kong office**

16/F & Room 2103-06 (21/F), Beautiful Group Tower, 77 Connaught Road Central, Hong Kong Tel +852 3579 4679

### <u>Cayman office</u>

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One Artillery Court, 161 Shedden Road,
George Town, Grand Cayman KY1-1105,
Cayman Islands

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